



Attorney's Docket No.: 13445-008001 / OG2 (6101) #4

2874

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven G. Johnson et al. Art Unit : Unknown  
Serial No. : 10/057,440 Examiner : Unknown  
Filed : January 25, 2002  
Title : PHOTONIC CRYSTAL OPTICAL WAVEGUIDES HAVING TAILORED  
DISPERSION PROFILES

Commissioner for Patents  
Washington, D.C. 20231

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Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 13445-008001.

Respectfully submitted,

Date:

9/19/02

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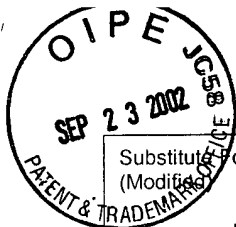
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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Steven G. Johnson et al.	
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**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,852,968	08/1989	Reed			
	AB	5,185,827	02/1993	Poole			
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**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AX	2,288,469	10/1995	Great Britain				

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



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							Yes	No
	AY	0 060 085	09/1982	Europe				
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	AFF	WO 97/01774	01/1997	WIPO				
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	AJJ	WO 00/22466	04/2000	WIPO				
	AKK	WO 00/51268	08/2000	WIPO				
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	ANN	WO 01/69295	09/2001	WIPO				
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	ATT	C. Moeller, "Mode converters in the Doublet III ECH microwave system," Int. J. Electronics, 53, 587-593 (1982).
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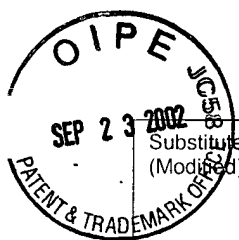
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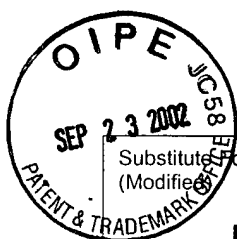
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	AYYY	M. J. Buckley et al., "A single period TE <sub>02</sub> -TE <sub>01</sub> mode converter in a highly overmoded circular waveguide," IEEE Trans. Microwave Theory Tech., 39, 1301-1306 (1991).
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	ALLLL	S.P. Morgan, "Theory of curved circular waveguide containing an inhomogeneous dielectric," Bell Syst. Tech. J., 36, 1209-1251 (1957).

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(37 CFR §1.98(b))

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